

UNITED STATES DISTRICT COURT

EASTERN DISTRICT OF CALIFORNIA

PHILLIP GONZALES,

Plaintiff,

v.

STEPHENS, *et al.*,

Defendants.

Case No. 1:21-cv-00652-BAM (PC)

ORDER DIRECTING CLERK OF COURT TO
RANDOMLY ASSIGN DISTRICT JUDGE TO
ACTION

FINDINGS AND RECOMMENDATIONS TO
DISMISS ACTION, WITHOUT PREJUDICE,
FOR FAILURE TO OBEY A COURT ORDER
AND FAILURE TO PROSECUTE

(ECF No. 5)

FOURTEEN (14) DAY DEADLINE

I. Background

Plaintiff Phillip Gonzales (“Plaintiff”) is a state prisoner proceeding *pro se* in this civil rights action under 42 U.S.C. § 1983. This matter was referred to a United States Magistrate Judge pursuant to 28 U.S.C. § 636(b)(1)(B) and Local Rule 302.

On April 21, 2021, the Court issued an order denying Plaintiff’s *in forma pauperis* application without prejudice and ordering Plaintiff to submit an application to proceed *in forma pauperis* or pay the filing fee within thirty days to proceed with this action. (ECF No. 5.) The Court expressly warned Plaintiff that failure to comply with the Court’s order would result in dismissal of this action. (*Id.*) The deadline has expired, and Plaintiff has failed to file an application to proceed *in forma pauperis*, pay the filing fee, or otherwise communicate with the

1 Court.

2 **II. Failure to Prosecute and Failure to Obey a Court Order**

3 **A. Legal Standard**

4 Local Rule 110 provides that “[f]ailure . . . of a party to comply with these Rules or with
5 any order of the Court may be grounds for imposition by the Court of any and all sanctions . . .
6 within the inherent power of the Court.” District courts have the inherent power to control their
7 dockets and “[i]n the exercise of that power they may impose sanctions including, where
8 appropriate, . . . dismissal.” *Thompson v. Hous. Auth.*, 782 F.2d 829, 831 (9th Cir. 1986). A
9 court may dismiss an action, with prejudice, based on a party’s failure to prosecute an action,
10 failure to obey a court order, or failure to comply with local rules. *See, e.g., Ghazali v. Moran*, 46
11 F.3d 52, 53–54 (9th Cir. 1995) (dismissal for noncompliance with local rule); *Ferdik v. Bonzelet*,
12 963 F.2d 1258, 1260–61 (9th Cir. 1992) (dismissal for failure to comply with an order requiring
13 amendment of complaint); *Malone v. U.S. Postal Serv.*, 833 F.2d 128, 130–33 (9th Cir. 1987)
14 (dismissal for failure to comply with court order).

15 In determining whether to dismiss an action, the Court must consider several factors:
16 (1) the public’s interest in expeditious resolution of litigation; (2) the Court’s need to manage its
17 docket; (3) the risk of prejudice to the defendants; (4) the public policy favoring disposition of
18 cases on their merits; and (5) the availability of less drastic sanctions. *Henderson v. Duncan*, 779
19 F.2d 1421, 1423 (9th Cir. 1986); *Carey v. King*, 856 F.2d 1439, 1440 (9th Cir. 1988).

20 **B. Discussion**

21 Here, Plaintiff’s application to proceed *in forma pauperis* is overdue, he has not paid the
22 filing fee for this action, and he has failed to comply with the Court’s order. The Court cannot
23 effectively manage its docket if Plaintiff ceases litigating his case. Thus, the Court finds that both
24 the first and second factors weigh in favor of dismissal.

25 The third factor, risk of prejudice to defendant, also weighs in favor of dismissal, since a
26 presumption of injury arises from the occurrence of unreasonable delay in prosecuting an action.
27 *Anderson v. Air W.*, 542 F.2d 522, 524 (9th Cir. 1976). The fourth factor usually weighs against
28 dismissal because public policy favors disposition on the merits. *Pagtalunan v. Galaza*, 291 F.3d

639, 643 (9th Cir. 2002). However, “this factor lends little support to a party whose responsibility it is to move a case toward disposition on the merits but whose conduct impedes progress in that direction,” which is the case here. *In re Phenylpropanolamine (PPA) Products Liability Litigation*, 460 F.3d 1217, 1228 (9th Cir. 2006) (citation omitted).

Finally, the Court’s warning to a party that failure to obey the court’s order will result in dismissal satisfies the “considerations of the alternatives” requirement. *Ferdik*, 963 F.2d at 1262; *Malone*, 833 at 132–33; *Henderson*, 779 F.2d at 1424. The Court’s April 21, 2021 order expressly warned Plaintiff that his failure to comply with the Court’s order would result in a dismissal of this action. (ECF No. 5.) Thus, Plaintiff had adequate warning that dismissal could result from his noncompliance.

Additionally, at this stage in the proceedings there is little available to the Court that would constitute a satisfactory lesser sanction while protecting the Court from further unnecessary expenditure of its scarce resources. Plaintiff has not paid the filing fee, and will likely attempt to proceed *in forma pauperis* in this action, apparently making monetary sanctions of little use, and the preclusion of evidence or witnesses is likely to have no effect given that Plaintiff has ceased litigating his case.

III. Conclusion and Recommendation

Accordingly, the Court HEREBY ORDERS the Clerk of the Court to randomly assign a district judge to this action.

Further, the Court finds that dismissal is the appropriate sanction and HEREBY RECOMMENDS that this action be dismissed, without prejudice, for failure to obey a Court order, failure to pay the filing fee, and for Plaintiff’s failure to prosecute this action.

These Findings and Recommendation will be submitted to the United States District Judge assigned to the case, pursuant to the provisions of Title 28 U.S.C. § 636(b)(1). Within **fourteen (14) days** after being served with these Findings and Recommendation, Plaintiff may file written objections with the Court. The document should be captioned “Objections to Magistrate Judge’s Findings and Recommendation.” Plaintiff is advised that failure to file objections within the specified time may result in the waiver of the “right to challenge the magistrate’s factual

findings” on appeal. *Wilkerson v. Wheeler*, 772 F.3d 834, 839 (9th Cir. 2014) (citing *Baxter v. Sullivan*, 923 F.2d 1391, 1394 (9th Cir. 1991)).

IT IS SO ORDERED.

Dated: June 9, 2021

/s/ Barbara A. McAuliffe
UNITED STATES MAGISTRATE JUDGE